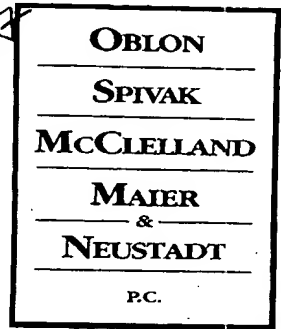




STATUS AND ENTRY

Docket No.: 0039-7632-0X

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 09/531,163

Applicants: Hiroyuki YANO, et al.

Filing Date: March 17, 2000

For: AQUEOUS DISPERSION, AQUEOUS DISPERSION  
FOR CHEMICAL MECHANICAL POLISHING USED  
FOR MANUFACTURE OF SEMICONDUCTOR  
DEVICES, METHOD FOR MANUFACTURE OF  
SEMICONDUCTOR DEVICES, AND METHOD FOR  
FORMATION OF EMBEDDED WIRING

Group Art Unit: 1765

Examiner: DEO, DUY VU NGUYEN

SIR:

Attached hereto for filing are the following papers:

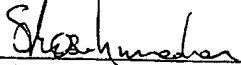
**Request for Refund**

**Copy of PAIR Report (3 pages)**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon

Registration No. 24,618

Customer Number

**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)

Stefan U. Koschmieder, Ph.D.

Registration No. 50,238



Atty. Docket No. 0039-7632-0X

STATUS: Awaiting

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroyuki YANO, et al.  
NGUYEN

:EXAMINER: DEO, DUY VU

SERIAL NO.: 09/531,163

:GROUP ART UNIT: 1765

FOR: AQUEOUS DISPERSION, AQUEOUS DISPERSION FOR CHEMICAL  
MECHANICAL POLISHING USED FOR MANUFACTURE OF  
SEMICONDUCTOR DEVICES, METHOD FOR MANUFACTURE OF  
SEMICONDUCTOR DEVICES, AND METHOD FOR FORMATION OF  
EMBEDDED WIRING

REQUEST FOR REFUND

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Pursuant to 37 CFR 1.26, Applicants request a refund of the Notice of Appeal  
and one month extension of time that were inadvertently paid on February 2, 2004.

REMARKS

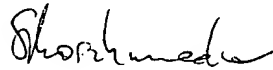
Applicants request a refund of \$440.00 paid on February 2, 2004, for a Notice  
of Appeal and one month extension of time. A Notice of Allowability was indicated  
on January 21, 2004 as evidenced by the attached sheets, therefore the filing of the  
Notice of Appeal and one month extension of time were not required, and this request  
is believed to be justified.

Application No. 09/531,163

Please credit the refund to our Deposit Account No. 15-0030. A duplicate  
copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Registration No. 24,618

Stefan U. Koschmieder, Ph.D.  
Registration No. 50,238

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**22850**

Tel: (703) 413-3000

Fax: (703) 413-2220

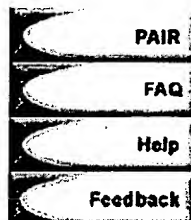
NFO:SUK:ils



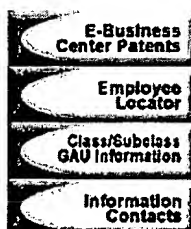
United States Patent and Trademark Office

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## PATENT APPLICATION INFORMATION RETRIEVAL



## Other Links



Search results for application number:09/531,163			
Application Number:	09/531,163	Customer Number:	22850
Filing or 371 (c) Date:	03-17-2000	Status:	Allowed – Notice of Allow Mailed – Issue Revision Completed
Application Type:	Utility	Status Date:	02-04-2004
Examiner Name:	DEO, DUY VU NGUYEN	Location:	ELECTRONIC
Group Art Unit:	1765	Location Date:	01-06-2004
Confirmation Number:	5064	Earliest Publication No:	-
Attorney Docket Number:	0039-7632-0X	Earliest Publication Date:	-
Class/ Sub-Class:	438/692	Patent Number:	-
First Named Inventor:	Hiroyuki Yano, Yokohama-shi, (JP)	Issue Date of Patent:	-
Title Of Invention:	AQUEOUS DISPERSION, AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING USED FOR MANUFACTURE OF SEMICONDUCTOR DEVICES, METHOD FOR MANUFACTURE OF SEMICONDUCTOR DEVICES, AND METHOD FOR FORMATION OF EMBEDDED WIRING		

Select Search Option

Image File Wrapper

Search

Foreign Priority

Patent Term Extension History

Publication Review

File History		
Number	Date	Contents Description
72	02-04-2004	Mail Notice of Allowance
71	01-29-2004	Issue Revision Completed
70	01-30-2004	Notice of Allowance Data Verification Completed
69	01-21-2004	Notice of Allowability
68	01-14-2004	Date Forwarded to Examiner
67	12-23-2003	Amendment after Final Rejection
66	01-07-2004	Mail Examiner Interview Summary (PTOL - 413)
65	12-17-2003	Examiner Interview Summary Record (PTOL - 413)

64	12-17-2003	Examiner Interview Summary Record (PTOL - 413)
63	10-02-2003	Mail Final Rejection (PTOL - 326)
62	09-30-2003	Final Rejection
61	08-04-2003	Date Forwarded to Examiner
60	07-30-2003	Response after Non-Final Action
59	04-30-2003	Mail Non-Final Rejection
58	04-29-2003	Non-Final Rejection
57	04-04-2003	Information Disclosure Statement (IDS) Filed
56	03-14-2003	Affidavit(s) (Rule 131 or 132) or Exhibit(s) Received
55	03-20-2003	Date Forwarded to Examiner
53	03-20-2003	Date Forwarded to Examiner
52	03-14-2003	Request for Continued Examination (RCE)
51	03-20-2003	Express Abandonment (for Entry of CPA / RCE / Rule129)
50	03-14-2003	Workflow - Request for RCE - Begin
49	02-28-2003	Mail Advisory Action (PTOL - 303)
48	02-26-2003	Advisory Action (PTOL-303)
47	02-13-2003	Affidavit(s) (Rule 131 or 132) or Exhibit(s) Received
46	02-21-2003	Date Forwarded to Examiner
45	02-13-2003	Amendment after Final Rejection
44	11-15-2002	Mail Final Rejection (PTOL - 326)
43	11-15-2002	Final Rejection
42	09-24-2002	Date Forwarded to Examiner
41	09-23-2002	Response after Non-Final Action
40	06-21-2002	Mail Non-Final Rejection
39	06-20-2002	Non-Final Rejection
38	05-21-2002	Information Disclosure Statement (IDS) Filed
36	05-08-2002	Date Forwarded to Examiner
35	05-03-2002	Request for Continued Examination (RCE)
34	05-08-2002	Express Abandonment (for Entry of CPA / RCE / Rule129)
33	05-03-2002	Request for Extension of Time - Granted
32	05-03-2002	Workflow - Request for RCE - Begin
31	04-16-2002	Mail Advisory Action (PTOL - 303)
30	04-15-2002	Advisory Action (PTOL-303)
29	04-11-2002	Date Forwarded to Examiner
28	04-04-2002	Amendment after Final Rejection
27	04-04-2002	Request for Extension of Time - Granted
26	03-15-2002	Mail Advisory Action (PTOL - 303)
25	03-14-2002	Advisory Action (PTOL-303)
24	03-04-2002	Date Forwarded to Examiner
23	02-28-2002	Amendment after Final Rejection
22	12-04-2001	Mail Final Rejection (PTOL - 326)
21	12-03-2001	Final Rejection
20	10-05-2001	Information Disclosure Statement (IDS) Filed
19	10-10-2001	Date Forwarded to Examiner
18	10-05-2001	Response after Non-Final Action
17	03-20-2001	Case Docketed to Examiner in GAU
16	08-15-2001	Information Disclosure Statement (IDS) Filed
15	07-05-2001	Mail Non-Final Rejection
14	06-29-2001	Non-Final Rejection
13	06-19-2001	Case Docketed to Examiner in GAU
12	04-19-2001	Date Forwarded to Examiner
11	04-17-2001	Response to Election / Restriction Filed
10	03-20-2001	Mail Restriction Requirement

9	03-20-2001	Requirement for Restriction / Election
8	07-24-2000	Information Disclosure Statement (IDS) Filed
7	07-17-2000	Information Disclosure Statement (IDS) Filed
6	06-21-2000	Request for Foreign Priority (Priority Papers May Be Included)
5	06-07-2000	Case Docketed to Examiner in GAU
4	05-31-2000	Application Dispatched from OIPE
3	05-31-2000	Correspondence Address Change
2	04-12-2000	IFW Scan & PACR Auto Security Review
1	03-17-2000	Initial Exam Team nn

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Docket No. 0039-7632-0X

FEB 02 2004

U.S. TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masayuki HATTORI, et al.

GAU: 1765

SERIAL NO: 09/531,163

EXAMINER: DEO, DUY VU NGUYEN

FILED: March 17, 2000

FOR: AQUEOUS DISPERSION, AQUEOUS DISPERION FOR CHEMICAL MECHANICAL POLISHING  
USED FOR MANUFACTURE OF SEMICONDUCTOR DEVICES, METHOD FOR MANUFACTURE  
OF SEMICONDUCTOR DEVICES, AND METHOD FOR FORMATION OF EMBEDDED WIRING

NOTICE OF APPEAL

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicants hereby appeal to the Board of Appeals from the decision dated October 2, 2003

The items checked below are appropriate:

- ☐ A Petition for Extension of Time Under 37 C.F.R. §1.136 was filed for \_\_\_\_\_ months.
- ☐ A timely response to the final rejection has been filed, as provided in 841 O.G. 1411.
- ☒ A Petition for Extension of Time (one month) for filing the Notice of Appeal is attached.

Fee: \$330.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ A check is Enclosed
- ☐ A credit card payment form is enclosed
- ☐ Charge to Deposit Account No. 15-0030 (an additional copy of this notice is enclosed herewith).
- ☒ Please charge any additional fees or credit any overpayment of fees required for filing the Notice of Appeal to Deposit Account No. 15-0030. A duplicate copy of this Notice is enclosed.
- ☒ If this notice is not considered timely filed by the U.S. Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this Notice is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon

Registration No. 24,618

Stefan U. Koschmieder, Ph.D.

Registration No. 50,238

12/04/2004 EFLORES 00000085 09531163

01 FC:1401 330.00 DP

Adjustment date: 03/03/2004 SDIRETA1

02/04/2004 EFLORES 00000085 09531163

01 FC:1401 -330.00 DP

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masayuki HATTORI, et al.

SERIAL NO: 09/531,163

GAU: 1765

FILED: March 17, 2000

EXAMINER: DEO, DUY VU NGUYEN

FOR: AQUEOUS DISPERSION, AQUEOUS DISPERION FOR CHEMICAL MECHANICAL POLISHING  
USED FOR MANUFACTURE OF SEMICONDUCTOR DEVICES, METHOD FOR MANUFACTURE  
OF SEMICONDUCTOR DEVICES, AND METHOD FOR FORMATION OF EMBEDDED WIRING

REQUEST FOR EXTENSION OF TIME  
UNDER 37 C.F.R. 1.136

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

It is hereby requested that a one month extension of time be granted to February 2, 2004 for

- ☐ filing a response to the Official Action dated:
- ☐ responding to the requirements in the Notice of Allowability dated:
- ☐ filing the Formal Drawings. The Issue Fee due has been timely filed.
- ☐ responding to the Notice to File Missing Parts of Application dated:
- ☒ filing a Notice of Appeal. A timely response to the final rejection, due January 2, 2004 has been filed.
- ☐ filing an Appeal Brief. A Notice of Appeal was filed on:
- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown below is reduced by one-half.

The required fee of \$110.00 is enclosed herewith by check and any further charges may be made against the Attorney of Record's Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

02/04/2004 EFLORES 00000085 09531163

02 FC:1251 110.00 OP

Repln. Ref: 03/03/2004 SDIRETA1 0009423000  
DAH:150030 Name/Number:09531163  
FC: 9204 \$440.00 CR

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Adjustment date: 03/03/2004 SDIRETA1  
02/04/2004 EFLORES 00000085 09531163  
02 FC:1251 -110.00 OP

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

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Registration No. 50,238